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## Significant Preservation of Neurocognitive Function and Patient-Reported Symptoms with Hippocampal Avoidance during Whole-Brain Radiotherapy for Brain Metastases: Final Results of NRG Oncology CC001

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\*Co-Principal Investigators contributed equally to this work.

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# Disclosures for Dr. Gondi

- Partnership, Radiation Oncology Consultants, LLC; Honoraria, UpToDate; Honoraria/Travel expenses: Physicians' Education Resource

# Background

- Whole-brain radiotherapy is associated with cognitive toxicity
  - 1-4 brain metastases: N0574<sup>1</sup>, N107C<sup>2</sup>, MD Anderson trial<sup>3</sup>
  - Declining use of WBRT, rising use of radiosurgery
- Neuroregenerative stem cells within the hippocampal dentate gyrus are exquisitely radiosensitive and important to cognition
  - Preclinical/clinical evidence supports the hippocampal dentate gyrus as a memory-specific and radiosensitive structure-at-risk<sup>4</sup>

**Hypothesis: Hippocampal avoidance using IMRT prevents cognitive toxicity from WBRT**

<sup>1</sup>Brown et al. JAMA 2016

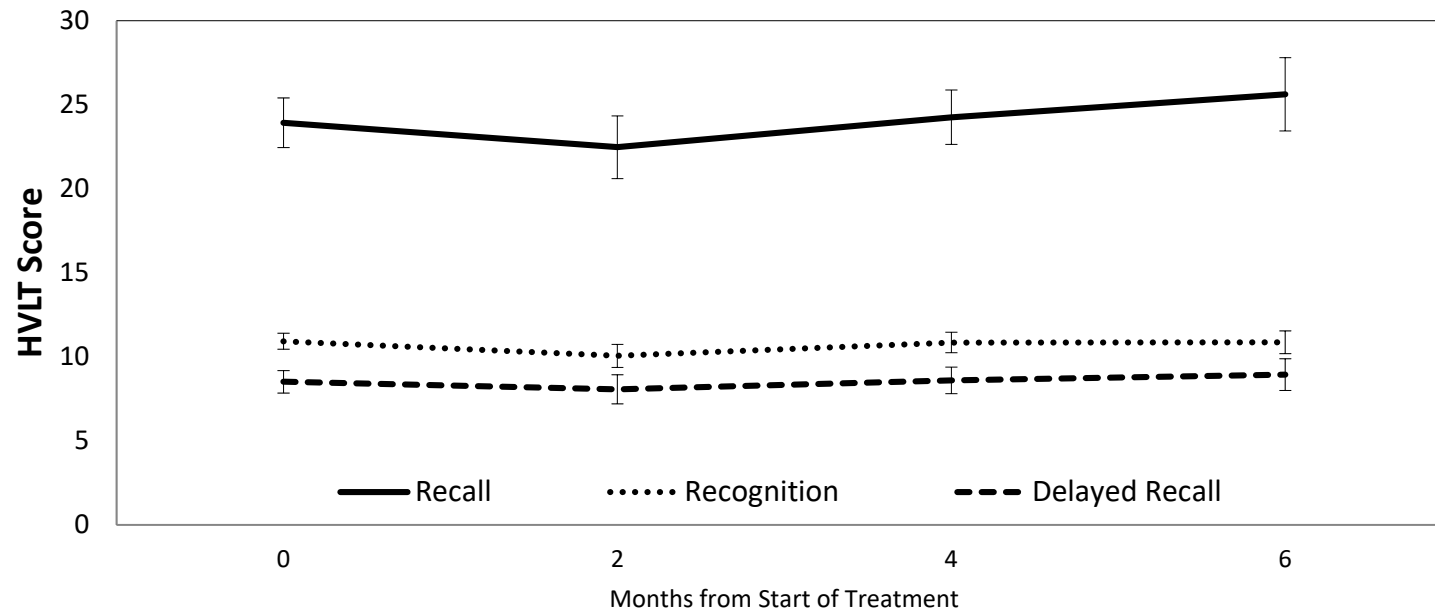
<sup>2</sup>Brown et al. Lancet Oncol 2017

<sup>3</sup>Chang et al. Lancet Oncol 2009

<sup>4</sup>Gondi et al. R&O 2010

# RTOG 0933

- Single-arm phase II trial of HA-WBRT (30 Gy in 10 fractions)
  - Credentialing and central review of hippocampal contouring and IMRT planning



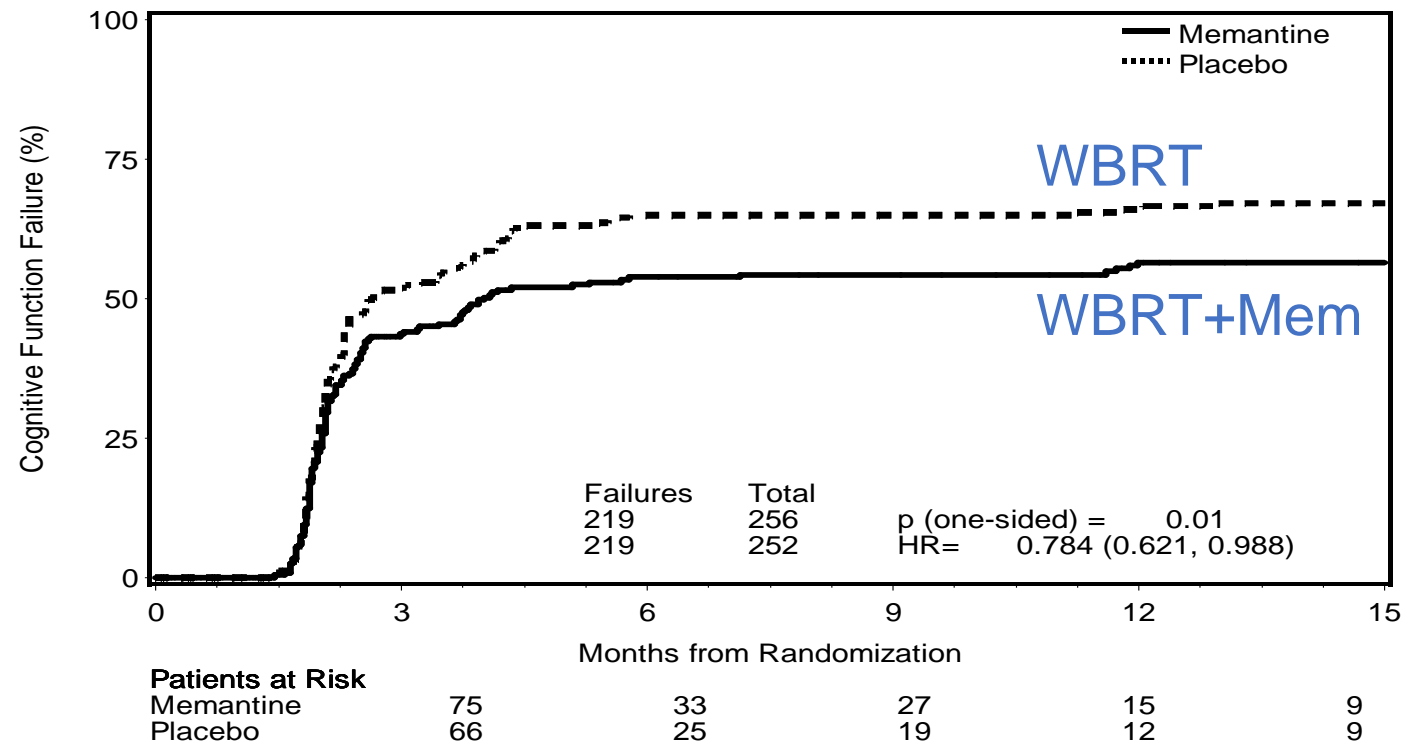
- **Mean decline in HVLt-Delayed Recall from baseline to 4 months: 7.0% (95% CI: -4.7-18.7%)**
- Significantly less compared to historical control: 30% ( $p=0.0003$ )

**Need phase III data for level I evidence**

Gondi et al. JCO 2014

# RTOG 0614

- Phase III trial of WBRT with or without memantine

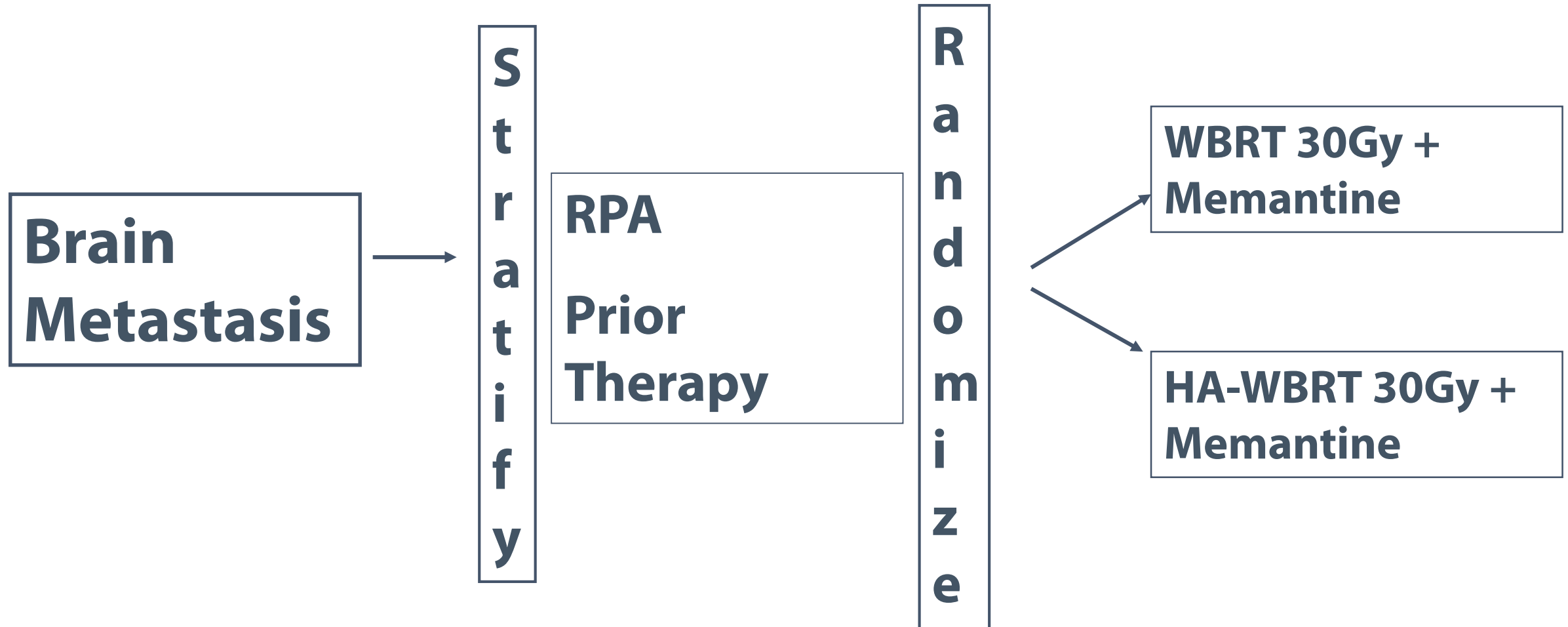


**Memantine during WBRT considered standard of care**

Brown et al. Neuro-Oncol 2013

# NRG-CC001: Phase III Trial Memantine and WBRT with or without Hippocampal Avoidance in Patients with Brain Metastases

Basic Eligibility: Brain metastases 5mm outside hippocampus; KPS $\geq$ 70; 3D MRI scan; hydrocephalus/ventricular distortion excluded; baseline NCF testing



# Trial Design

- Primary endpoint: Time to cognitive failure
  - Cognitive battery: Hopkins Verbal Learning Test-Revised, Controlled Oral Word Association, Trail Making Test
  - Cognitive failure: reliable change index defined decline on one or more tests
  - Cumulative incidence to estimate time to cognitive failure
    - Death without cognitive failure treated as competing risk
  - Secondary endpoints: patient-reported symptom burden (MDASI-BT), toxicity, progression-free and overall survival
- Probability of cognitive failure
  - Overall HR = 0.65
  - 382 analyzable patients for 90% power and two-sided  $\alpha=0.05$
  - Sample size increased by 25% for possible non-compliance

**Target Accrual: 510 patients**

# Baseline Characteristics

518 randomized patients

Baseline	WBRT+Mem n=257	HA-WBRT+Mem n=261	<i>p</i> value
Age	Median 61	Median 62	0.66
RPA class	Class I: 14.8% Class II: 85.2%	Class I: 12.6% Class II: 87.4%	0.48
Neurologic symptoms	None: 46.3% Minor: 33.5%	None: 43.3% Minor: 35.2%	0.83
Primary tumor	Lung 58.8% Breast 17.5%	Lung 59.8% Breast 19.5%	0.81
KPS	70: 20.6%    80: 29.2% 90-100: 50.2%	70: 18.4%    80: 31.0% 90-100: 50.6%	0.38

**No differences in baseline patient characteristics, including cognitive function and patient-reported symptom burden**



# Toxicity

Toxicity	WBRT+Mem n=257	HA-WBRT+Mem n=261	p value
Any relation	Grade 3: 89 (38.4%) Grade 4: 20 (8.6%) Grade 5: 35 (15.1%) <b>Grade 3+: 144 (62.1%)</b>	Grade 3: 70 (31.4%) Grade 4: 25 (11.2%) Grade 5: 36 (16.1%) <b>Grade 3+: 131 (58.7%)</b>	0.47
Treatment-related toxicity	Grade 3: 36 (15.5%) Grade 4: 7 (3.0%) Grade 5: 3 (1.3%) <b>Grade 3+: 46 (19.8%)</b>	Grade 3: 36 (16.1%) Grade 4: 4 (1.8%) Grade 5: 3 (1.3%) <b>Grade 3+: 43 (19.3%)</b>	0.88

Treatment-related grade 5 toxicities:

WBRT+mem: Neoplasms benign, malignant and unspecified (n=3)

HA-WBRT+mem: Gen d/o's and administration site conditions (n=2, possible)

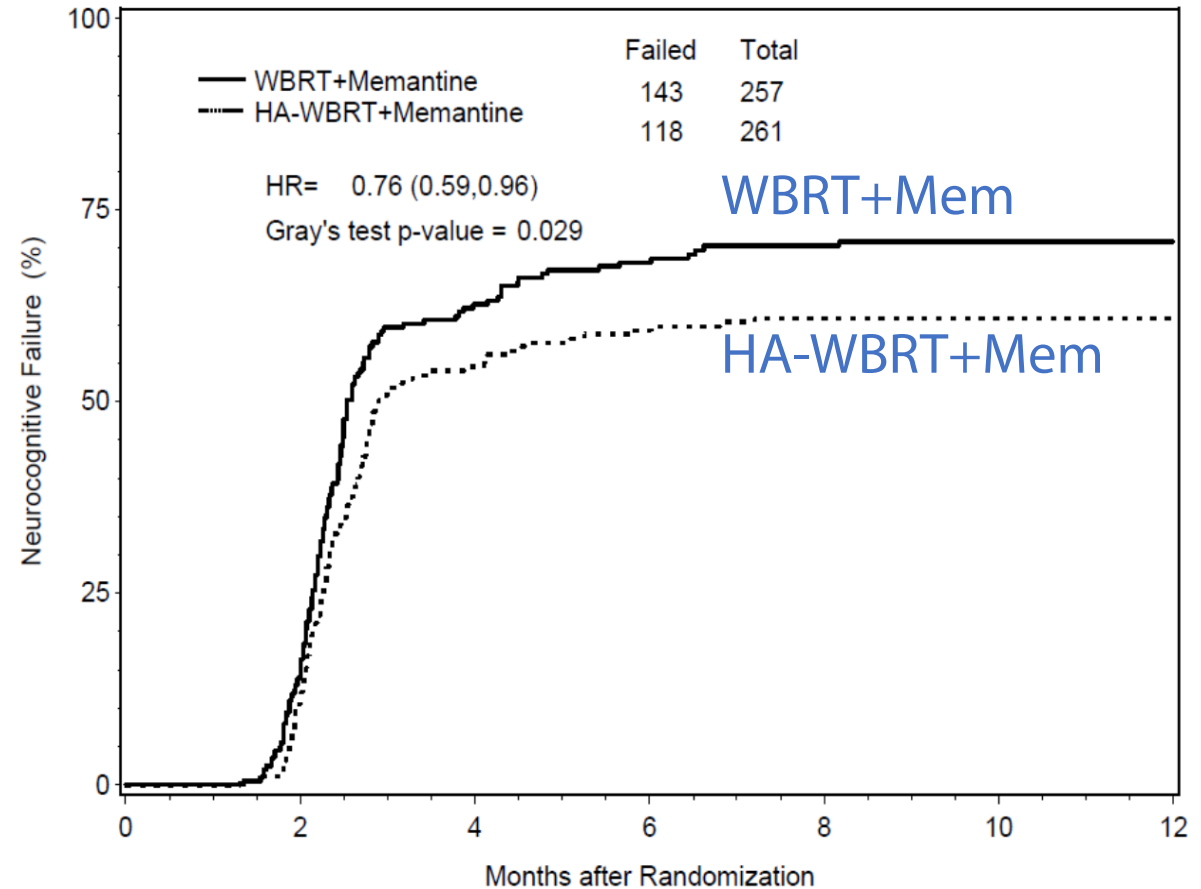
Somnolence (n=1, possible, 64d after tx start)

**No differences in any or treatment-related toxicity**

# Primary Endpoint

- Hippocampal avoidance prevents cognitive function failure

- Hazard ratio = 0.756  $p=0.029$
- Separation of the curves starting at 3 months and maintained through the follow-up period



**Patients at Risk**

	0	2	4	6	8	10	12
WBRT+Memantine	257	133	34	19	11	9	5
HA-WBRT+Memantine	261	124	40	27	22	21	14

Median follow-up for alive patients: **12.1 months**

# Primary Endpoint

- Hippocampal avoidance prevents cognitive function failure
  - 26% relative risk reduction
- Multivariate analysis: Treatment arm and age
- No interaction between treatment arm and age
  - Effect of treatment remains significant independent of age

Variable	HR	95% CI	p value
Treatment arm (HA-WBRT+Mem vs. WBRT+Mem[RL])	<b>0.74</b>	<b>0.58-0.94</b>	<b>0.016</b>
Age ( $\leq 61$ vs. $>61$ [RL])	<b>0.61</b>	<b>0.47-0.80</b>	<b>0.0003</b>
RPA Class* (I vs. II[RL])	1.36	0.98-1.87	0.063
Prior radiosurgery* (No vs. Yes[RL])	0.82	0.62-1.08	0.158
Prior surgery* (No vs. Yes[RL])	1.10	0.84-1.44	0.504

\*Stratification factor [RL]: Reference level

Median follow-up for alive patients: **12.1 months**

# Cognition Domains at 4 Months

- Hippocampal avoidance reduces deterioration of
  - 4 months: Executive function (Trail Making Test B)

## Deterioration at 4 months:

Cognitive Domain	WBRT +Mem n=109	HA-WBRT +Mem n=93	p
HVLT-R Total Recall	35.5%	29.0%	0.33
HVLT-R Delayed Recall	33.0%	24.7%	0.19
HVLT-R Recognition	24.8%	14.0%	0.055
Trail Making Test Part A	24.8%	20.4%	0.46
<b>Trail Making Test Part B</b>	<b>40.4%</b>	<b>23.3%</b>	<b>0.012</b>
Controlled Oral Word Association	12.1%	10.5%	0.73

Median follow-up for alive patients: **12.1 months**

# Cognition Domains at 6 Months

- Hippocampal avoidance reduces deterioration of
  - 4 months: Executive function (Trail Making Test B)
  - 6 months: Learning and memory (HVLT-R Recognition)

## Deterioration at 6 months:

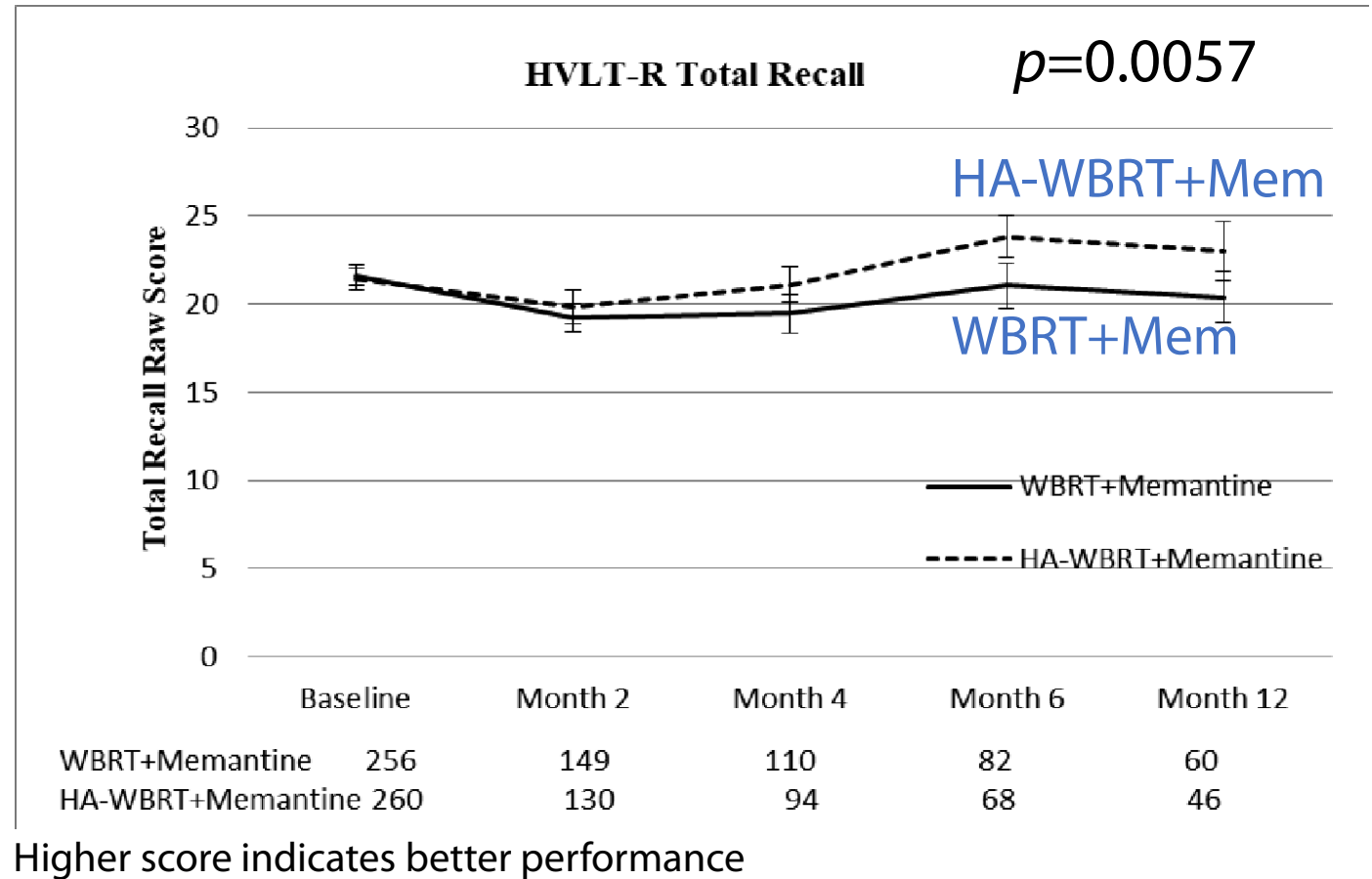
Cognitive Domain	WBRT +Mem n=77	HA-WBRT +Mem n=61	<i>p</i>
HVLT-R Total Recall	26.8%	14.7%	0.07
HVLT-R Delayed Recall	30.0%	20.6%	0.19
<b>HVLT-R Recognition</b>	<b>36.3%</b>	<b>17.6%</b>	<b>0.011</b>
Trail Making Test Part A	28.0%	17.6%	0.13
Trail Making Test Part B	35.9%	23.9%	0.12
Controlled Oral Word Association	6.2%	11.8%	0.23

Median follow-up for alive patients: **12.1 months**

# Cognition Domains Over Time

- Hippocampal avoidance reduces deterioration of
  - 4 months: Executive function (Trail Making Test B)
  - 6 months: Learning and memory (HVLT-R Recognition)
- Hippocampal avoidance preserves all learning and memory domains over time
  - HVLT-R total recall, delayed recall and recognition

Mixed effects models using multiple imputation:

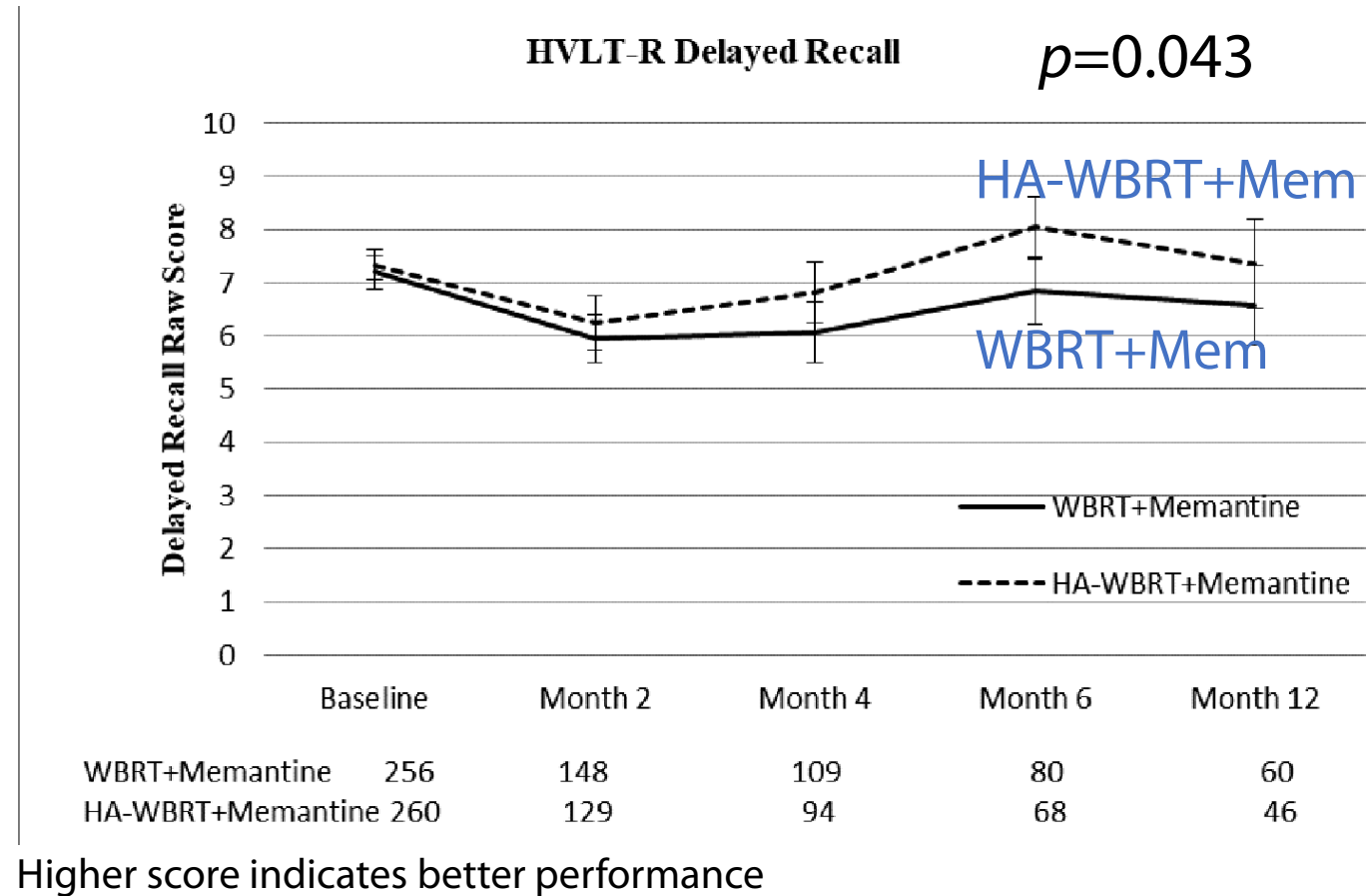


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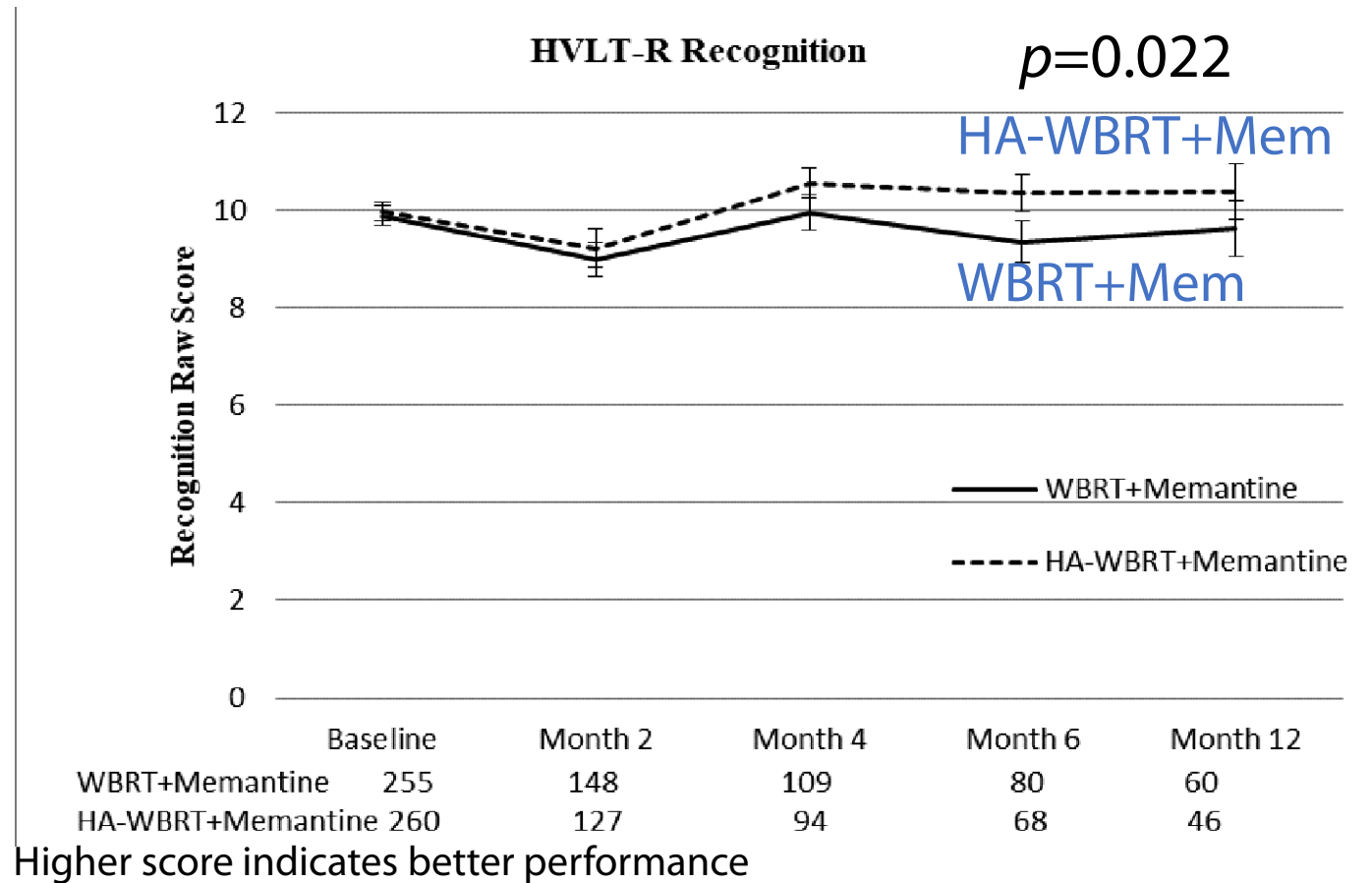


Median follow-up for alive patients: **12.1 months**

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## Mixed effects models using multiple imputation:



Median follow-up for alive patients: **12.1 months**



# Patient-Reported Symptom Burden

- Hippocampal avoidance preserves patient-reported symptoms at 6 months:
  - Neurologic symptom burden
  - Interference of neurologic symptoms in daily activities

## Change from Baseline to 6 months:

Variable	Estimate	p value	Estimate	p value
	Complete Data		Imputed Data	
Symptom	-0.26	0.083	<b>-1.37</b>	<b>&lt;0.001*</b>
<b>Interference</b>	<b>-5.07</b>	<b>0.003*</b>	<b>-1.93</b>	<b>0.0016*</b>
Cognitive factor	-0.05	0.77	-0.17	0.35
Neurologic factor	0.213	0.32	-0.13	0.56

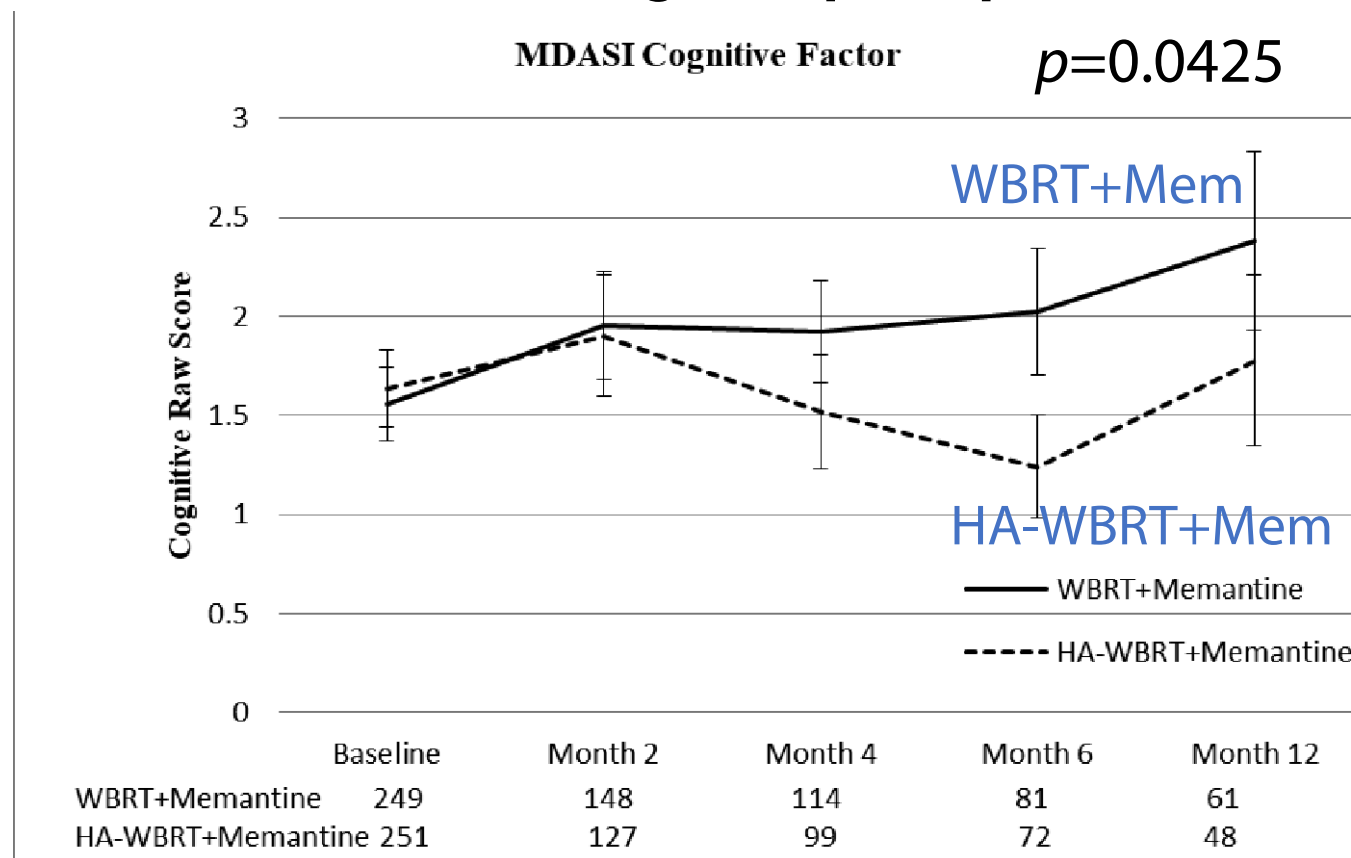
\*Significant using Hochburg's multiplicity adjustment

Median follow-up for alive patients: **12.1 months**

# Patient-Reported Outcomes

- Hippocampal avoidance preserves patient-reported symptoms at 6 months:
  - Neurologic symptom burden
  - Interference of neurologic symptoms in daily activities
- Hippocampal avoidance preserves patient-reported cognitive factor over time:
  - Hippocampal avoidance associated with less problems remembering things at 6 months ( $p=0.016$ )

Mixed effects models using multiple imputation:



Higher score indicates more symptoms

Median follow-up for alive patients: **12.1 months**

# Survival

Toxicity	WBRT+Mem n=257	HA-WBRT+Mem n=261	p value
Intracranial Progression-Free Survival	Median: 5.3 months 95% CI: 4.7-6.0	Median: 5.0 months 95% CI: 4.4-6.2	<b>0.076</b>
	HR = 1.20      95% CI: 0.98-1.47		
Overall Survival	Median: 7.6 months 95% CI: 5.8-10.1	Median: 6.3 months 95% CI: 4.0-7.7	<b>0.242</b>
	HR = 1.14      95% CI: 0.91-1.43		

**No significant differences in intracranial PFS or overall survival**

**HA region relapses:**

**HA-WBRT+Mem 11 WBRT+Mem 17**

Median follow-up for alive patients: **12.1 months**

# Conclusions

- Hippocampal avoidance during WBRT plus memantine preserves cognitive function and patient-reported symptoms in brain metastasis patients
  - Improvements in patient-reported cognition over time and 6-month change in neurologic symptom burden, interference of neurologic symptoms with daily activities, and problems remembering things
  - Benefits in executive functioning at 4 mos, recognition at 4 and 6 mos, and all domains of learning and memory over time
  - Similar toxicity, intracranial PFS and overall survival outcomes

**For brain metastasis patients eligible to receive WBRT and whose survival is expected to be 4 months or longer, hippocampal avoidance using IMRT should be considered standard of care.**

# Conclusions



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## NCCN Guidelines Version 1.2019 Central Nervous System Cancers

[NCCN Guidelines Index](#)  
[Table of Contents](#)  
[Discussion](#)

### PRINCIPLES OF RADIATION THERAPY FOR BRAIN AND SPINAL CORD

#### Brain Metastases

- WBRT: Doses vary between 20 and 40 Gy delivered in 5–20 fractions.
  - ▶ The standard regimens include 30 Gy in 10 fractions or 37.5 Gy in 15 fractions.
  - ▶ Nevertheless, 20 Gy in 5 fractions is a good option for patients with poor predicted prognosis.<sup>19</sup>
  - ▶ For patients with a better prognosis, consider memantine during and after WBRT for a total of 6 months.<sup>20</sup>
  - ▶ For patients with a better prognosis (4 months or greater), consider hippocampal-sparing WBRT.<sup>21-22</sup>

**For brain metastasis patients eligible to receive WBRT and whose survival is expected to be 4 months or longer, hippocampal avoidance using IMRT should be considered standard of care.**

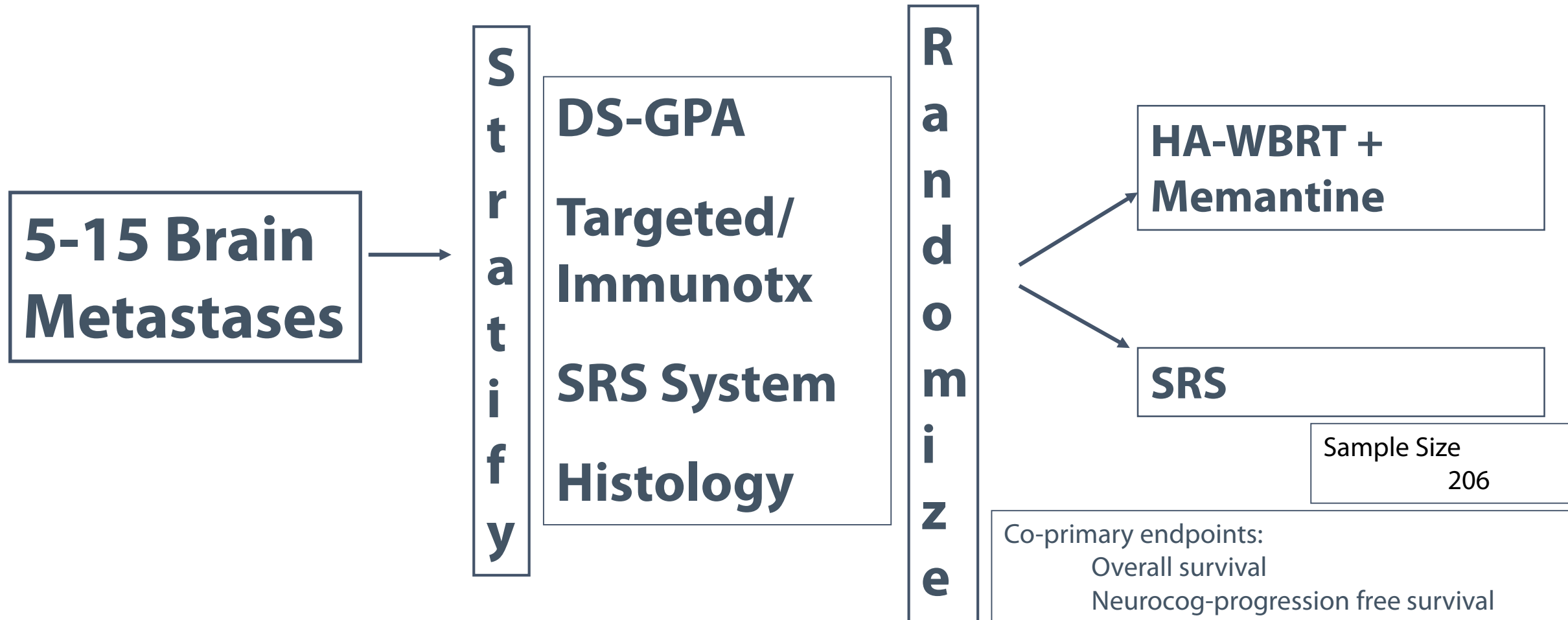
# Conclusions

- Contributes to debate over SRS vs. WBRT for brain metastases
  - RTOG 0614: HR=0.78 with addition of memantine to WBRT
  - NRG CC001: HR=0.74 with addition of HA to WBRT+memantine
  - Combined HR with memantine+HA =  $0.78 \times 0.74 = 0.58$

**Comparable to phase III trials favoring SRS in lieu of WBRT**

# CCTG CE.7: Phase III Trial Stereotactic Radiosurgery versus Hippocampal Avoidant WBRT+memantine for 5-15 Brain Metastases

Basic Eligibility: 5-15 brain mets; largest met <2.5cm; total brain met vol  $\leq$ 30cc



# Conclusions

- Contributes to debate over SRS vs. WBRT for brain metastases
  - RTOG 0614: HR=0.78 with addition of memantine to WBRT
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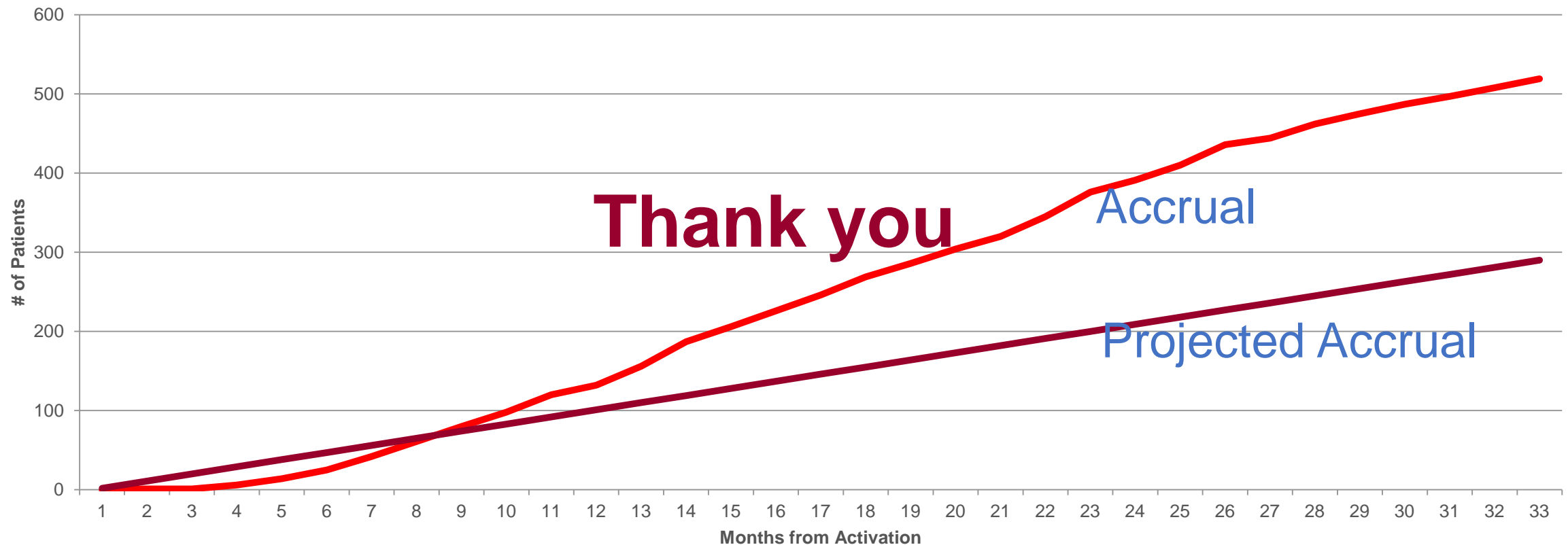
## **Comparable to phase III trials favoring SRS in lieu of WBRT**

- Evidence strongly supports hippocampal radiosensitivity
  - Radiosensitivity of regenerative stem cell niche in the hippocampal dentate gyrus is central to cognitive effects of brain irradiation
  - Builds upon decades of preclinical/clinical research on the pathophysiology of hippocampal radiosensitivity

## **Supports the hippocampus as a cognition-specific organ at risk for all forms of brain irradiation**



# NRG CC001 Accrual



Accrual 16 pts/month      Completed 2 years earlier than projected  
Community's interest in developing safer approaches to deliver WBRT